





## List of documents required for

# **Authorised Partner Agreement**

- 1. PAN card
- 2. Aadhar Card
- 3. Education Proof (Minimum 12th Pass)
- 4. Office Address

- 5. Office Address Proof
- 6. Nism Module -8 Certificate (Mandatory)
- 7. Email ID
- 8. Mobile Number & Landline Number

### List of documents required for Address Proof

Member may submit following address proofs (duly certified by trading/Notary/C.A.)

- 1. Passport
- 2. Driving license
- 3. Voter ID
- 4. Bank passbook/statement with latest statement of transaction (not older than 6 months)
- 5. Electric Bill in the name of applicant
- 6. Telephone Bill (only landline)
- 7. Ration card
- 8. Shop & establishment certificate
- 9. Copy of ownership agreement
- 10. Leave & license agreement (One permanent residence proof required in case of leave & license agreement is given) Should ne Notarised
- 11. Copy of Aadhar Card

## List of documents required for Qualification Proof

1. Copy of education certificate, education mark sheet duly certified by trading member/ Notary/C.A.

### List of documents required for Age & PAN card Proof

1. Copy of PAN card duly certified by trading member/Notary/C. A

(The Member should ensure that the approved users and/or sales personnel of Authorised Person/s shall continue to have valid certification of respective segment at all point of time)

We shall be levying a processing fee of Rs.25000.00 plus GST for each registration application of Authorized Person (Cash, Equity Derivative, Currency Derivative, Commodity Derivatives, New Debt Segment). The said amount shall be debited to the respective Trading Member's General Charges account

All the documents should be clear & signed by applicant

# Application form by the applicant for registration as authorised person with trading member of BSE Ltd. Annexure 2 (a)

(As per Rules, Bye Laws & Regulation of the Exchange)

1	Name of applicant (applying for registration of Authorised Person)	
2	Trade Name: (of Authorised Person/ Partnership firm/ Corporate body)	
3	Segment: 1. Cash 2. Equity Derivatives 3. Currency Derivative 4. Commodity Derivative 5. New Debt 6 All Segments	
4	Type of organization: (Sole proprietor/partnership/LLP/corporate body) [Kindly provide name/s of proprietor /partners/ directors]	Type of organization: Names of Partners/Directors: 1 2
5	Office address (with proof) (Place from where authorised person will be operating - covering area, street/road, city/dist., state & PIN etc., all fields are compulsory).	
6	Contact Person Name, Office Telephone, Mobile Numbers, Fax Numbers, Email ID	
7	Residence address (with proof) with telephone number (i.e., of proprietor/all partners/all directors – covering area, street/road, city/dist., state & PIN etc., all fields are compulsory).	
8	Education qualification & Date of Birth (with proof of proprietor / all partners / all directors with proof	
9	PAN Number of the applicant & applicant firm and of proprietor/all partners/all directors with proof is compulsory.	
10	Name and clearing no. of main Trading Member to whom applicant is affiliated	
11	Date of signing agreement with the Trading	
12	Authorised person affiliated to a Trading Member in any other stock exchange - if Yes, Provide details of Registration No. & Date with details of name of member to whom he/she/they is/are affiliated.	
13	Whether any case/claim/arbitration pending against the authorised person in any court/stock exchanges (provide details)	
14	In case applicant is a member of a Regional/ Multiple Stock Exchange, details of SEBI Registration No. & Date of Registration	

I certify that the information given in this application form is true to the best of my/our knowledge and belief.

Data	٠
Date	٠

Place:

Signature of applicant \_\_\_\_\_\_